City of Dayton, Ohio  
Department of Water  
Division of Water Engineering

2020 Large Valve Rehabilitation with 10% SBE Participation

REQUEST FOR PROPOSAL (RFP) No. 20-013WTWE

August 2020
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SECTION 1 – PROPOSAL INSTRUCTIONS

1.01 COMMUNICATIONS REGARDING THIS PROJECT. Please direct all communications regarding the RFP Process to:

City of Dayton, Department of Water, Division of Water Engineering
Ben Swain
320 West Monument Avenue
Dayton, Ohio 45402
Telephone: (937) 333-2054
E-Mail: ben.swain@daytonohio.gov

All communications/questions concerning this RFP must be submitted in writing referencing the specific paragraph and page number. The deadline for questions is listed in Section 1.02 (RFP Schedule). Written responses will be prepared by the City and posted on the city’s web site by the date listed in Section 1.02. Changes to this RFP will be made only by formal written correspondence issued by the City.

A copy of this proposal and any additional documentation may be found at the City of Dayton’s website at: https://www.daytonohio.gov/bids.aspx

1.02 RFP SCHEDULE. The following is the anticipated schedule for the RFP Process:

<table>
<thead>
<tr>
<th>Event</th>
<th>Date/Time</th>
</tr>
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<tbody>
<tr>
<td>Issue RFP: (Advertise- 2 consecutive weeks)</td>
<td>August 17, 2020</td>
</tr>
<tr>
<td>Notify City of Intent to Attend Pre-Proposal Meeting</td>
<td>1:00 pm local (Dayton OH) time on August 26, 2020</td>
</tr>
<tr>
<td>Pre-Proposal Meeting:</td>
<td>Meeting will be online via Teams at 9:00 am local (Dayton OH) time on August 28, 2020</td>
</tr>
<tr>
<td>Last Day to Submit Questions:</td>
<td>9:00 am local (Dayton OH) time on September 4, 2020</td>
</tr>
<tr>
<td>Written Responses to Questions:</td>
<td>September 11, 2020</td>
</tr>
<tr>
<td>Due Date for Proposals:</td>
<td>3:00 pm local (Dayton OH) time on September 21, 2020</td>
</tr>
<tr>
<td>Contractor is Selected:</td>
<td>Anticipated by October 30, 2020</td>
</tr>
<tr>
<td>Contract is Awarded:</td>
<td>Anticipated be in December 2020</td>
</tr>
<tr>
<td>Notice to Proceed Issued:</td>
<td>Anticipated be in January 2020</td>
</tr>
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</table>

1.03 PRE-PROPOSAL MEETING. The City shall conduct a MANDATORY pre-proposal meeting. The meeting will be online via Microsoft Teams on August 28, 2020 at 9:00 am local (Dayton, OH). The intent of the pre-proposal meeting is to:

- Review the Request for Proposal
- Review the City’s Procurement Enhancement Plan (PEP) procedures
- Answer questions

This may be the only opportunity for the Contractors to meet with the City. Contractors shall notify Ben Swain at ben.swain@daytonohio.gov if they will be attending the pre-proposal meeting and how many persons will attend. The invitation will be send only to those who register for the meeting due to security. Attendance at this meeting is mandatory for all who intend to submit a proposal.

If you have any questions about the use of Microsoft Teams please contact Mr. Swain ahead of the meeting.

1.03 SITE VISIT. No site visit is planned.

1.05 SUBMITTING A PROPOSAL. Each Vendor seeking consideration for performance of services related to this RFP must submit a proposal. All proposals shall be submitted as a PDF via electronic submission to bids@daytonohio.gov. The City has a 20meg limit.
for incoming e-mail message sizes (20meg includes e-mail itself and any attachments total). Should your company’s proposal document exceed this limit, your company will have to submit its document in multiple parts (emails). Should bid document require multiple emails, please designate in the “Subject” line of each email sent: RFP No 20-013WTWE- Large Valve Restoration, RFP No 20-013 Part 1, RFP No. 20-013 Part 2, and so forth.

The bid opening will be facilitated using ZOOM with the following login information:

https://us02web.zoom.us/j/86550490563?pwd=RFdJRkxEZWppenczUFRRKuhnHR3lvZz09

Sealed proposals must be received in the Procurement bid email in-box (BIDS@DAYTONOHIO.GOV) by 3:00 PM on the date indicated in Section 1.02 (RFP Schedule). Proposals received after the scheduled date/time will not be considered. All supporting materials and documentation must be included with the proposal. The responsibility of timely delivery lies solely with the proposer.

The City reserves the right to reject any and all proposals, to waive any irregularities in a proposal, or to accept the proposal(s) which in the judgment of proper officials, is in the best interest of the City. The City reserves the right to accept a part or parts of a proposal unless otherwise restricted in the RFP or issue subsequent Requests for Proposal. The City reserves the right to approve or reject any sub-Contractors proposed for work under this proposal or waive any minor irregularities.

The City reserves the right to select the successful proposer on the basis of proposals received, without seeking further information for clarification from proposers. Upon review of proposals, the City may designate the most qualified proposals as finalists. These finalists may be invited to make oral presentations and participate in a question and answer session with the City. The City shall have the right to visit selected user sites, should this be deemed necessary.

All federal, state, and local laws regarding competitive bidding, anti-competitive practices, and conflict of interest shall be applicable to this RFP.

The City does not guarantee that any contract will be awarded as a result of this RFP. In the event that a contract award is made but the contract is not executed, the City does not guarantee that the contract will be re-awarded.

1.06 REQUIRED PROPOSAL CONTENTS. All brochures and supplemental documentation shall be included with the original and all of the copies. If not, the proposal may be considered as non-responsive. Contractors are required to submit the following information in their proposal:

- **Letter of Transmittal**: The proposer shall provide a transmittal letter with authorizing signature for the proposal. The letter must briefly summarize the vendor’s ability and willingness to perform the services required by the RFP. The letter must be on the form provided in Exhibit A.
- **Company Profile and Background**: Provide the following information:
  - **Location**: The street address of the proposer’s company headquarters.
  - **Local Office of Proposer**: Provide the location of the proposer’s office nearest to Dayton, Ohio. Include the local office, a contact name, address, telephone, and fax numbers.
  - **Company’s Primary Business**: State the proposer’s primary business, the number of years in the proposer’s industry, and the number of employees assigned to these related activities.
  - **State the legal make-up of your company**: sole proprietorship, partnership, corporation, etc.
  - **Please list and Lawsuits that you are currently engaged in**: Please provide any and all suits either with the City of Dayton or any other Municipalities (include, but not limited to Federal, State, Local or other Municipalities and Governmental agencies).
  - **Key Personnel Information**: Provide the name, title, mailing address, telephone number and e-mail address of the persons who will function as the City’s primary contact and back-up contact person. Provide brief resumes/qualifications of personnel who will be primarily involved in this project. Include any certifications earned, special training taken, and memberships in professional groups. Complete Form found in Exhibit A.
  - **Proposal Response** as per Section 2.
  - **Statement of Exceptions to RFP requirements**: Provide a detailed description of any exceptions taken to the requirements of this RFP, including the City Standard Terms and Conditions in Section 3. Exceptions shall be referenced to the applicable RFP section/sub-section numbers. Any other departures from the city’s RFP are to be identified and failure to do so shall make the proposal non-responsive.
City’s standard Terms for Payment are Net 30 days from date of invoice once the project is complete, unless otherwise negotiated. If you cannot comply with this, please state any changes in the Statement of Exceptions to the RFP Requirements (See Standard Terms in Section 3).

- **References**: Provide a list of references on form provided as Exhibit B. The City is particularly interested in contacting your governmental clients in the state of Ohio.

1.07 **Items that Disqualify a Vendor Immediately.**

- Incomplete or non-responsive proposal
- Failure of proposer to attend or send a representative to the pre-proposal meeting
- Inability to obtain Affirmative Action Assurance approval prior to award of the contract. See Section 3.06 for information on how to contact the Human Relations Council.

1.08 **Selection Committee.** *This section is not available at this time. Members are not provided as to prevent any inappropriate communications regarding this project.*

1.09 **Criteria.** The selection committee will evaluate each proposal submitted based on the following criteria. After receipt and review of the written proposal, the City may elect to have the proposal presented in person, or clarifications submitted in writing.

Proposers shall not assume that any information shared with the City prior to this RFP will be considered in the Evaluation process of this RFP. Evaluation team may or may not have prior knowledge of any discussions and processes. **Evaluation will be completed on the information submitted in proposal only.**

<table>
<thead>
<tr>
<th>Evaluation Criteria for Goods and Services</th>
<th>Percentage Possible</th>
</tr>
</thead>
<tbody>
<tr>
<td>Item</td>
<td>Description</td>
</tr>
<tr>
<td>1</td>
<td>Pricing Structure</td>
</tr>
<tr>
<td>2</td>
<td>Previous Experience</td>
</tr>
<tr>
<td>3</td>
<td>Technical Plan</td>
</tr>
<tr>
<td>6</td>
<td>Dayton Local Business</td>
</tr>
<tr>
<td>Total Points</td>
<td>100%</td>
</tr>
</tbody>
</table>

**Evaluation Criteria Explanation**

1. **Cost/Price**: Vendor shall provide a basis for cost based on Section 2.04
2. **Previous Experience**: Vendors seeking consideration shall submit a list of previous work of a similar nature performed in the past. Provide client name, facility name, and contact person with e-mail address and phone number. Vendor shall have served as the prime and only U.S. projects will be considered.
3. **Technical Plan**: Vendor shall provide details on how the project goals will be achieved as outlined in the project scope. The Vendor shall include details on how evaluations and repairs are performed. It is also important to detail impacts to the water distribution system required for these tasks, and how emergencies are addressed.

1.10 **Miscellaneous Items to Be Added if Necessary.**

- All Contractors submitting a proposal will be notified, upon final determination by the City, of the firm selected to perform the requested work.
SECTION 2 – SCOPE OF PROJECT

2.01 PURPOSE AND NEED / PROJECT DESCRIPTION. The City of Dayton, Department of Water (Dayton Water) is seeking proposals from a highly experienced and professional Vendor to perform large valve condition assessment and rehabilitation.

2.02 BACKGROUND INFORMATION.
Dayton Water implemented an asset management program in 2012 in order to address the replacement and repair of water mains that are failing and replace lines at risk for failure. The program is intended to reduce failures through proactive replacement, thus avoiding the costs of repairing damage caused by water main breaks. Dayton Water has also identified large valves as critical to the resilience of the water distribution system. Dayton Water desires to have outside support to address the needs of the large diameter valves. A Water Utility Field Operations (WUFO) crew is assigned to exercise large valves and is identifying any that need repair.

Dayton Water owns 21,517 system valves, with 667 valves 16” and larger. The project is focused on those valves 16” and larger (considered transmission mains).

2.03 SCOPE OF WORK / PROJECT REQUIREMENTS.
The Vendor shall outline a proposed technical project approach, including specific tasks required to execute the project. The Proposal shall include the Vendor’s qualifications, experience, and approach to the project. The proposal shall detail the capabilities and limitations of the condition assessment and rehabilitation, as well as the requirements for performance of the project.

All work shall be performed in accordance with AWWA M44 “Distribution Valves: Selection, Installation, Field Testing, and Maintenance, Third Edition”, City of Dayton Construction Materials and Specifications (latest edition), City of Dayton Engineering Design Standards (latest edition), and any additional relevant AWWA, local, state, and federal requirements.

Appendix A contains a current list of valves identified for repairs. This list will be added to as WUFO continues routine valve operation and exercising.

Task A – Project Planning
The Vendor shall review and identify all relevant information for work within the project scope. Dayton Water will provide all available information including; as-builts, work order history, GIS, atlas sheets, valve maintenance records, and intersection drawings. Using the available information, the Vendor shall review the attached list of valves in need of repair, as well as valves identified after the issuance of this RFP, and provide recommendations for approaches to be used, and any other information required for the success of the project.

Communication with Dayton Water throughout the project is imperative. A kick-off meeting, valve selection review workshop, condition assessment planning workshop, and at least one rehabilitation planning meeting will be held with Dayton Water, along with additional site visits and workshops as required.

A project plan shall be submitted to Dayton Water for review and approval before condition assessment and rehabilitation will begin. The project plan shall include an emergency contingency plan.

Project Planning shall include development of a data management plan to bring all collected data into Dayton Water information systems.

Task B – Detailed Condition Assessment
The Vendor shall perform a detailed condition assessment on the selected large valves that have been identified by WUFO as being in need of repair. The condition assessment shall evaluate the operability, physical condition, effectiveness of closure, and needed repairs to return the valve to full operation. The Vendor shall be responsible for any required cleaning out valve boxes to perform the condition assessment. The Vendor shall exercise the valve and document attribute characteristics. Should access be a problem for the Vendor they shall contact WUFO to address any problems.
The Vendor shall pump out any water in the vault in accordance with Dayton Water guidelines of discharge policies and ventilate the confined space prior to entering the vault. Dayton Water maintains a confined space entry permitting program, and the Vendor shall meet with Dayton Water Safety Coordinator regarding confined space entry permits and follow their guidelines and requirements for permits prior to entering any confined space. The Vendor shall vacuum out debris or pump out water from the box/vault in order to allow access to the valve operating nut and exposing both external gearing and bonnet bolts for inspection prior to operation. In order to provide this service, the Vendor must provide an industrial vacuum and water pump with every work crew. Valve bodies will be cleaned utilizing primary conventional methods with hand tools (wire brush, rags, etc.). However, in some cases it may also become necessary for the Vendor to provide a pressure washer in order to remove hardened sediment from the valves so that inscriptions and manufacturer identification markings may be uncovered. As such, the Vendor shall make a gasoline powered pressure washer available on each crew truck. The project as a whole will require, from time to time, industrial vacuums with pressure washer system with excavation capabilities capable of removing large debris from vaults. Dayton Water will provide a location for discarding materials vacuumed out of the valve structures.

The Vendor shall operate each large valve based on a plan to be submitted for each valve size and type encountered in this program. All valves shall be initially operated using the exercise mode of the valve operating machine. The Vendor shall utilize a microprocessor-controlled valve operating machine that records torque data during each rotation. This data is a deliverable under the contract. All valve operations shall be captured in a format to be loaded into Dayton Water’s ESRI Collector application. The Collector application will be made available to the Vendor at no cost. The data captured shall include photos of the valve site and valve.

Specific valve operating guidelines are to be provided by the Vendor making note of different sizes and types. At a minimum the contractor should include:

- Specific operating procedures and torque limits for all butterfly and gate valves.
- Specific valve exercising equipment by type and manufacturer to be used on each size and type of valve.
- Detailed, written valve exercising processes that will be used by its operating crews that will include torque limits for every valve type and size anticipated in the scope of this program.
- Notification procedures for any valves found closed or any unsafe conditions that are observed.
- Specific procedures if a bypass valve is in place.

Prior to the operation of any large valve in the Dayton Water system, a bi-weekly schedule shall be submitted to the project manager for coordination and approval. All work shall be coordinated with the Water Utility Field Operations (WUFO) Supervisor and the Pumping Operations Supervisor.

The Vendor shall include be responsible to submit a traffic control plan and perform any traffic control for the condition assessment and rehabilitation. All traffic control must be in accordance with the Ohio Manual of Uniform Traffic Control Devices (latest edition) and approved by City of Dayton Traffic Engineering.

The Vendor shall be responsible for any additional permits required for any work. This includes any permits and requirements with the Miami Conservancy District for work around the levees and river.

**Task C – Project Reports**
The Vendor shall evaluate the information gathered by the condition assessment and compile a report to be provided to Dayton Water. The report shall include detailed information about the condition of the valves and recommendations for any work that may need to be performed. Each valve identified for rehabilitation shall have a recommended scope, repair plan, and cost estimate. The project team will review the recommended plan, provide comments, and recommend follow-up actions.

**Task D – Valve Rehabilitation**
The Vendor shall complete repairs of all types as they are deemed economic and feasible by Dayton Water. As a first step, all valve work orders must be prioritized in coordination with Dayton Water. The Vendor shall repair valves (including by-pass valves) that are identified for repair and approved by Dayton Water. The Vendor shall be responsible for all tools, equipment, labor, and materials.

- Large valve repairs will include, but are not limited to: operation nut repair and/or replacement, pinion gear repair and/or replacement, large gear repair and/or replacement, stem replacement, bushings repair and/or
replacement, packing gland repair and/or replacement, bonnet bolt replacement and other repairs that may be necessary and as agreed with Dayton Water.

The Vendor shall also provide minor repairs as they are encountered throughout this program as requested by Dayton Water. Minor repairs are defined as repairs that can return a valve to full operability and do not require backhoe excavation or breaking the pressure barrier of the water system including:

1. Raising valve boxes in asphalt - Locate the paved over valve, cut asphalt (small cut), jackhammer down to the cover, apply risers to raise to existing street level, and restore based on City of Dayton Rules and Regulations for Making Openings in a Public Way (latest edition).
2. Raising valve boxes in outside of paved areas - Locate the buried valve, dig down to the cover, apply risers to raise to existing ground level, backfill with compacted soil.
3. Re-alignment of the roadway boxes - Mis-aligned roadway boxes will be excavated using high-pressure water and vacuum system and re-aligned to allow proper operation.
4. Replacement of rounded or missing operating nuts (buried valves) - The Vendor shall gain access to the lower section of roadway boxes by utilizing vacuum excavation, if necessary, or other means within the water main easement including but not limited to traffic control, removal and installation of roadway boxes, temporary restoration of pavement, grubbing and seeding. The Vendor shall remove any existing operation nut pieces from the valve stem and lower section of the roadway box, removing existing operating nut screws, re-tap the stem when necessary and securely install an operating nut.

The Vendor shall create work orders for all required repairs that are needed in order to bring the valves in the system up to 100% operability. These work orders will be entered into Infor Public Sector. Work orders will specifically note the discrepancy of the valve and the repair activity required to return the valve to full operability. Work orders will contain, at a minimum, the following information:

- Valve ID
- Size of valve
- Type of valve
- Specific valve discrepancy (category and details)
- Specific repair activity required to return the valve to full operability

The Vendor shall include be responsible to submit a traffic control plan and perform any traffic control for the condition assessment and rehabilitation. All traffic control must be in accordance with the Ohio Manual of Uniform Traffic Control Devices (latest edition) and approved by City of Dayton Traffic Engineering.

The Vendor shall be responsible for all site restoration to City of Dayton Standards, or as required by permits from Miami Conservancy District.

2.04 PRICING STRUCTURE. Prices proposed will remain firm for acceptance within 180 calendar days after the RFP closing date.

It is understood that pricing for this project will be very dependent on the number of valves, size, location, condition, and complexity of the work involved. The contract award will be an allowance, and payment will be made based upon the identified work.

The Vendor shall provide costs for project administration, project planning (per site), and mobilization/demobilization. The Vendor shall also provide an explanation of how costs will be determined based upon the size of valve, accessibility, and location. This is to provide Dayton Water with an understanding of how costs will be developed and allow comparison between proposals.

The Vendor shall also analyze the information provided in Appendix B and provide sample pricing for the three valves identified.

Dayton Water intends to award the contract for a two-year term, with options for renewal in the contract to allow for up to 5 years of valve rehabilitation work.
SECTION 3 – REQUIREMENTS AND CONDITIONS FOR ALL PROPOSERS

3.01 TAX EXEMPTION. All items purchased under this contract will be exempt from the State of Ohio Sales Tax as provided for in Section 5739-02(b)(1) of the Revised Code of Ohio, and will be exempt from the State of Ohio Use Tax, Section 5741.02(C)(2). Blanket Certification of Exemption Forms will be furnished to the Proposer by the Division of Purchasing.

3.02 PROPOSER AFFIDAVIT. If the successful proposer should be a corporation not incorporated under the laws of the State of Ohio, a certificate from the Secretary of State showing the rights of the successful proposer to do business in the State of Ohio shall be furnished. Each proposer is required to submit with their bid, an Affidavit stating that neither the proposer nor agents thereof, nor any other party of the proposer has paid or agreed to pay directly or indirectly, any person, firm or corporation, any money or valuable consideration for assistance in procuring or attempting to procure the contract herein referred to, and further agreeing that no such money or reward will hereafter be paid.

3.03 PROCUREMENT ENHANCEMENT PROGRAM. It is the policy of the City to promote full and equal business opportunity to all persons doing business with the City. The City must ensure that businesses seeking to participate in contracting and procurement activities with the City are not prevented from doing so on the basis of the race or gender of their owners. The City is committed to ensuring that it is not engaged in passive participation in any form of discrimination. (R.C.G.O. Section 35.32) It is the City of Dayton’s position to encourage the greatest participation possible on all projects connected with any aspect of the City’s auspices through the Procurement Enhancement Program (PEP). All contractors are encouraged to review the list of Minority, Women and Small Businesses at www.daytonohio.gov/departments/hrc for certified subcontractors.

3.04 PROPOSER’S FINANCIAL OBLIGATION TO THE CITY. No bid may be accepted or contract awarded to any person, firm or corporation that is in arrears or in default to the City, or that is a defaulter of surety or otherwise upon any obligation to the City, or has failed to perform faithfully any previous contract with the City.

3.05 PROPOSER’S INCURRED COSTS. Each proposer shall be responsible for all costs incurred in preparing a response to this RFP. All materials and documents submitted by the proposer in response to this RFP shall become the property of the City, and shall not be returned. Respondents selected for further negotiations, as well as the proposer ultimately selected to enter into a contractual agreement with the City, shall be responsible for all costs incurred by it during negotiations.

3.06 AFFIRMATIVE ACTION ASSURANCE (AAA). The selected Contractor must file an Affirmative Action Assurance form (“AAA Form”) with the City’s Human Relations Council (HRC) and obtain approval from HRC to do business with the City. You may contact the HRC for the Rules and Regulations, and the AAA Form required of vendors of the City, at:

Human Relations Council
371 West Second Street, Suite 100
Dayton, Ohio 45402
(937) 333-1413 (Office)
(937) 222-4589 (Fax)

Failure to maintain a current AAA Form on file with the HRC may result in termination of the contract and/or denial of future contract awards from the City. The AAA Form must be filed annually.

3.07 STANDARD AGREEMENT TERMS FOR PROFESSIONAL SERVICES – These are standard terms are subject to change by the City prior to the award of the contract.
ARTICLE 1. TERM
The Agreement shall commence upon execution by the City and shall terminate upon expenditure of all funds provided herein or on Month Day, 20xx, whichever date is earlier.

ARTICLE 2. SERVICES TO BE PERFORMED BY CONTRACTOR
Contractor shall provide all services necessary to complete the Services that are described in an Attachment, Scope of Services, which is incorporated herein by reference.

ARTICLE 3. COMPENSATION
The total remuneration in this Agreement shall not exceed XXXX THOUSAND DOLLARS ($XXX,000.00). Contractor shall submit invoices, not more frequently than monthly, for payment of the Services actually provided. Such invoices shall state the invoice period, total amount requested and Services provided during the invoice period. The City will, unless disputed, remit payment of all undisputed amounts of invoices within thirty (30) days from receipt thereof.

ARTICLE 4. CITY’S RESPONSIBILITIES
The City will furnish Contractor, at no cost or expense, all reports, records, data that might be necessary or useful to complete the Services required under this Agreement.

ARTICLE 5. STANDARD OF CARE
Contractor shall exercise the same degree of care, skill, and diligence in the performance of the Services as is ordinarily possessed and exercised by a professional under similar circumstances. Contractor shall have no liability for defects in the Services attributable to Contractor’s reliance upon or use of data or other information furnished by the City or third parties retained by the City.

If, during the one year period following completion of the Services, it is shown there is an error in the Services caused by Contractor's failure to meet such standards and City has notified Contractor in writing of any such error within that period, Contractor shall perform, at no additional cost to City, such Services within the original Project as may be necessary to remedy such error.

ARTICLE 6. INDEMNIFICATION
Contractor shall indemnify and defend the City and its elected officials, officers, employees and agents from and against all claims, losses, damages, and expenses (including reasonable attorneys’ fees) of whatsoever kind and nature, to the extent that such claims, losses, damages, or expenses are caused by or arise out of the performance or non-performance of this Agreement and/or the acts, omissions, or conduct of Contractor and its agents, employees, contractors, sub-contractors and representatives in undertaking and performing the Services. This Article shall survive early termination or expiration of this Agreement.

ARTICLE 7. INSURANCE
During the term of this Agreement, Contractor shall maintain, at its sole cost and expense, no less than the following insurance issued by an insurance company authorized to conduct business in the State of Ohio and having an “A” rating or better by A.M. Best:

1. General Liability Insurance, having a combined single limit of $1,000,000 for each occurrence and $1,000,000 in the aggregate.
2. Automobile Liability Insurance, having a combined single limit of $1,000,000 for each person and $1,000,000 for each accident.
3. Employers’ Liability Insurance, having a limit of $500,000 for each occurrence.
4. Professional Liability Insurance, having a limit of $1,000,000 annual aggregate.
5. Performance Bond ….Contractor shall be required to obtain a Performance bond, at Contractor's expense, in an amount not less than $1,000,000, or such other amount as approved by the City, as a
condition to award of a contract. Said bond is to be delivered to the Manager of Accounting and Treasury prior to the beginning date of contract.

(6) Contractor shall maintain errors and omissions insurance in the amount of $1,000,000.00.

Current certificates of insurance for all policies and concurrent policies required to be maintained by Contractor pursuant to this Article shall be furnished to the City. All such insurance policies, excluding Professional Liability Insurance, shall name the City, its elected officials, officers, agents, employees, and volunteers as additional insureds, but only to the extent of the extent of the policy limits stated herein. All policies of insurance required hereunder shall contain a provision requiring a minimum of thirty (30) days advance written notice to the City in the event of cancellation or diminution of coverage.

Contractor also shall maintain Workers’ Compensation Insurance in such amounts as required by law for all employees, and shall furnish to the City evidence of same.

ARTICLE 8. OWNERSHIP OF DOCUMENTS AND INTELLECTUAL PROPERTY

Except as otherwise provided in this Agreement, documents and reports prepared by Contractor as part of the Services shall become the sole and exclusive property of the City upon payment. However, Contractor shall have the unrestricted right to their use.

Contractor shall retain its rights in pre-existing and standard scripts, databases, computer software, and other proprietary property. Rights to intellectual property that is not specifically designed or created exclusively for the City in the performance of this Agreement shall also remain the property of Contractor.

ARTICLE 9. TERMINATION

This Agreement may be terminated by the City upon written notice in the event of substantial failure by Contractor to perform in accordance with the terms of this Agreement. Contractor shall have fifteen (15) calendar days from the date of the termination notice to cure or to submit a plan for cure acceptable to the other party.

The City may terminate or suspend performance of this Agreement for the City’s convenience upon thirty (30) days prior written notice to Contractor. In the event of termination by the City hereunder, the City will pay Contractor for Services actually provided up to the date of termination.

Any such termination shall not relieve the vendor of any liability to the City for damages sustained by virtue of any breach by the vendor. The City will be under no further monetary obligation or commitment to the vendor. The City may terminate this contract at any time upon 30 days written notice to the vendor.

In the event of termination, the City may, at its option, exercise any remedy available to it, including the Uniform Commercial Code, according to Ohio law.

ARTICLE 10. STANDARD TERMS

A. DELAY IN PERFORMANCE

Neither the City nor Contractor shall be considered in default of this Agreement for delays in performance caused by circumstances beyond the reasonable control of the non-performing party. For purposes of this Agreement, such circumstances include, but are not limited to, abnormal weather conditions; floods; earthquakes; fire; epidemics; war, riots, and other civil disturbances; strikes, lockouts, work slowdowns, and other labor disturbances; sabotage; judicial restraint; and inability to procure permits, licenses, or authorizations from any local, state, or federal agency for any of the supplies, materials, accesses, or services required to be provided by either the City or Contractor under this Agreement, provided the aforementioned circumstances are not due to the negligence or fault of the asserting party or any of its agents, employees, contractors, sub-contractors and/or representatives.

Should such circumstances occur, the non-performing party shall, within a reasonable time of being prevented from performing, give written notice to the other party describing the circumstances preventing continued performance and the efforts being made to resume performance of this Agreement.
B. GOVERNING LAW AND VENUE
This Agreement shall be governed by and construed in accordance with the laws of the State of Ohio, without giving effect to the principles thereof relating to conflicts or choice of laws. Any arbitration, litigation or other legal matter regarding this Agreement or performance by either party must be brought in a court of competent jurisdiction in Montgomery County, Ohio.

C. COMMUNICATIONS
Any written communication or notice required or permitted by this Agreement shall be made in writing and shall be delivered personally, sent by express delivery, certified mail or first class U.S. mail, postage pre-paid to the address specified below:

    Company Name: ____________________________
    Address: ____________________________
    City, State Zip Code: ____________________________
    Attention: ____________________________
    Title: ____________________________

Nothing contained in this Article shall be construed to restrict the transmission of routine communications between representatives of Contractor and the City.

D. EQUAL EMPLOYMENT OPPORTUNITY
Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, ancestry, national origin, place of birth, age, marital status, or handicap with respect to employment, upgrading, demotion, transfer, recruitment or recruitment advertising, lay-off, termination, rates of pay or other forms of compensation, or selection for training, including apprenticeship.

    It is expressly agreed and understood that Section 35.14 of the Revised Code of General Ordinances of the City of Dayton constitutes a material condition of this Agreement as fully and as if specifically rewritten herein and that failure to comply therewith shall constitute a breach thereof entitling the City to terminate this Agreement at its option and may bar Contractor from receiving future City contracts.

E. WAIVER
A waiver by the City or Contractor of any breach of this Agreement shall be in writing. Such a waiver shall be effective only in the specific instance and for the specific purpose for which it is given and shall not affect the waiving party’s rights with respect to any other or further breach.

F. SEVERABILITY
The invalidity, illegality, or unenforceability of any provision of this Agreement or the occurrence of any event rendering any portion or provision of this Agreement void shall in no way affect the validity or enforceability of any other portion or provision of this Agreement. Any void, unenforceable, invalid or illegal provision shall be deemed severed from this Agreement, and the balance of this Agreement shall be construed and enforced as if this Agreement did not contain the particular portion or provision.

G. INDEPENDENT CONTRACTOR
By executing this Agreement for professional services, Contractor acknowledges and agrees that it will be providing services to the City as an “independent contractor”. As an independent contractor for the City, Contractor shall be prohibited from representing or allowing others to construe the parties’ relationship in a manner inconsistent with this Article. Contractor shall have no authority to assume or create any obligation on behalf of, or in the name of the City, without the express prior written approval of a duly authorized representative of the City.

    Contractor, its employees and any persons retained or hired by Contractor to perform the duties and responsibilities under this Agreement are not City employees, and therefore, such persons shall not be entitled to, nor will they make a claim for, any of the emoluments of employment with the City of Dayton. Further, Contractor shall be responsible to withhold and pay, or cause such agents, contractors and sub-contractors to withhold and pay, all applicable local,
state and federal taxes. Contractor acknowledges its employees are not public employees for purposes of Ohio Public Employees Retirement System (“OPERS”) membership.

H. ASSIGNMENT
Contractor shall not assign any rights or duties under this Agreement without the prior written consent of the City. Unless otherwise stated in the written consent to an assignment, no assignment will release or discharge the assignor from any obligation under this Agreement. Nothing contained in this Article shall prevent Contractor from employing independent Contractors, associates, and subcontractors to assist in the performance of the Services.

I. THIRD PARTY RIGHTS
Except as expressly provided in this Agreement, nothing in this Agreement shall be construed to give any rights or benefits to anyone other than the City and Contractor.

J. AMENDMENT
The parties may mutually agree to amend this Agreement. However, no such amendment shall be effective unless it is reduced to a writing, which references this Agreement, executed by a duly authorized representative of each party and, if applicable or required, approved by the Commission of the City of Dayton, Ohio.

K. POLITICAL CONTRIBUTIONS
Contractor affirms and certifies that it complies with Ohio Revised Code § 3517.13 limiting political contributions.

L. INTEGRATION
This Agreement represents the entire and integrated agreement between the City and Contractor. This Agreement supersedes all prior and contemporaneous communications, representations, and agreements, whether oral or written, relating to the subject matter of this Agreement.

M. PCI COMPLIANCE
Bidder/proposer (“Offeror”) represents and warrants that, for the entirety of any agreement resulting from this solicitation that involves processing credit and/or debit card revenue transactions on behalf of the City of Dayton that the solution is clearly defined to warrant the following:

1. All computer software, hardware, firmware, payment card processing policies, procedures and related services proposed to be utilized to process City of Dayton revenue transactions shall be:
   a. Completed by a qualified professional payment card processing Firm acceptable and approved by the City of Dayton; and,

2. Offeror shall provide and agrees to maintain the PCI compliance reporting Attestation of Compliance (“AOC”) Form(s) in its/their latest version(s), or within the year of record as requested and/or in an annual transmittal to the City of Dayton. (https://www.pcisecuritystandards.org/documents/PCI-DSS-v3_2-AOC-Merchant.docx?agreement=true&time=1493826893795 or https://www.pcisecuritystandards.org/documents/PCI-DSS-v3-AOC-Offeror.docx?agreement=true&time=1493826893795).

Selection one of the following and initial on the adjacent line:

[ ] Not Applicable (“N/A”) __________________________

[ ] Offeror, reviewed, understands and hereby acknowledges and affirms that its offer to the City of Dayton satisfies these requirements and shall continue to satisfy these requirements for the duration of any resulting agreement; current and relevant AOC’s are attached to demonstrate satisfaction of these requirements at the time of offer to the City of Dayton. __________________________

N. LIVING WAGE ORDINANCE-
“I certify the proposing entity complies with the City of Dayton Ordinance #30829-09 and the City’s Revised Code of General Ordinances Section 35.70 through 35.74 regarding Living Wages.”

[ ] YES [ ] NO Not Applicable (“N/A”) ______ ________
EXHIBIT A – LETTER OF TRANSMITTAL

The undersigned hereby certifies that items furnished as a result of this proposal will be in full accordance with the City of Dayton specification applying thereto unless exception are stated above.

The Proposer’s name and address exactly as it would appear in a contract:

Entity Name: 

Street Address: 

City, State, Zip: 

Proposer’s Phone Number: 

Proposer’s Fax Number: 

Proposer’s E-mail Address: 

Form of Ownership ☐ Sole Proprietorship  ☐ Franchise  ☐ Partnership  ☐ Corporation

☐ Joint Venture  ☐ LLC  ☐ Other (Specify): 

If a corporation, state of incorporation: 

Federal Identification Number (or SSN if sole proprietorship): 

Please include your IRS Form W9 with your proposal.

I certify the proposing entity complies with City of Dayton Ordinance #30829-09 and the City’s Revised Code of General Ordinances Section 35.70 through 35.74 regarding Living Wages.  ☐ Yes  ☐ No

SIGNATURE: 

PRINTED NAME AND TITLE: 

By signing this page, you state that you are an authorized representative, and have reviewed and are presenting this proposal on behalf of your business entity. Please continue completing this exhibit on the next page.
## COMPANY PROFILE AND BACKGROUND

**Name of Proposing Company:**


**Company’s Primary Business - State the proposer’s primary business, the number of years in the industry, and the number of employees assigned to these related activities:**

<table>
<thead>
<tr>
<th>Primary Business</th>
<th># of Years</th>
<th># of Employees Assigned</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

If a corporation, state of incorporation:


**Current Pending Lawsuits:** Please provide any and all suits either with the City of Dayton or any other Municipalities and Government Agencies; including, but not limited to Federal, State, Local or other Municipalities and Governmental Agencies:


**Local Office of Proposer: Office nearest to Dayton, Ohio:**


**Federal Identification Number (or SSN if sole proprietorship):**


**Key Personnel:**

<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
<th>Contact Information: Mailing address, telephone number, fax number and email address</th>
<th>Designated as Primary Contact for the City of Dayton? YES / NO</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>
EXHIBIT B – REFERENCES FOR PROPOSING COMPANY

Name of Proposing Company: 

List company names, addresses, and telephone numbers for at least three references presently or previously served by your Company for RFP No. 20-013WTWE. Do not use the City of Dayton as a reference.

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Address</th>
<th>Contact Person</th>
<th>Telephone Number</th>
<th>Fax Number</th>
<th>Email Address</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>
EXHIBIT C – PRODUCT MANUFACTURE LABOR STANDARDS: VENDOR COMPLIANCE FORM

By informal resolution 301-97, the City of Dayton is prohibited from purchasing, leasing, renting or taking on consignment goods for use or for resale by the City which were produced under sweatshop conditions.

The City of Dayton requests the following information concerning the products you intend to provide to the City as a result of this bid. This information will allow us to determine your products’ compliance with the standards outlined in informal resolutions 301-97.

We require that you make a good faith effort to ascertain the following about the factories which manufacture the products you intend to supply to the City and that you make information available to us for our verification of your claims.

**Child Labor.** The factory or producer does not employ anybody younger than the legal age as established by the jurisdiction in which such factory or producer is located for children to work or participate in the production.

**Forced Labor.** The factory or producer does not use forced labor of any kind-prison labor, indentured labor or bonded labor. However, goods produced by prisoners and/or patients as part of a formal rehabilitation or treatment program shall not be considered “forced labor” under the terms of this section.

**Wages and Benefits.** The factory or producer pays and/or provides at least the minimum wages and/or benefits as required by law in the jurisdiction in which the factory or producer is located.

**Hours of Work.** Employees are not required to work more hours than the maximum allowed by law for the jurisdiction in which the factory or producer is located.

**Worker Rights.** The factory or producer makes available to its employees such rights and procedures as required by law for the jurisdiction in which the factory or producer is located.

**Health and Safety.** The factory or producer provides at least the minimum safe and healthy working environment as required by law for the jurisdiction in which the factory or producer is located.

**Notice to Employees.** The factory or producer provides any and all applicable notices to its workers as required by law for the jurisdiction in which the factory or producer is located.

This compliance form must be submitted with your bid. If at any time your products are found to be out of compliance with these standards, or if you refuse to provide information to the City for our verification of compliance, the City reserves the right to terminate contracts for those products.

City of Dayton Ref. No.: ____________________________________________
Bidding Company: ________________________________________________
Address: _________________________________________________________

______________________________
Signature/Title: __________________________________________________
Federal I.D.#: ____________________________________________________
Phone No.: ________________________________________________________
Fax No.: _________________________________________________________
EXHIBIT D – PARTICIPATION/WAIVER REQUEST FORM

(Circle one: SBE/MBE/WBE/DLSB/DBE/HUD Section 3) PARTICIPATION FORM

Project Name: 

This form may be used for more than one funding source. City of Dayton general fund and State of Ohio funds: The City of Dayton has adopted procurement programs for Minority-owned, Woman-owned, Small Business Enterprises, and Dayton Local Small Business in accordance with Sections 35.30 – 35.68 of the Revised Code of General Ordinances (R.C.G.O.), inclusively. Federal funds: The City of Dayton is committed to meeting HUD-established subcontracting and employment opportunities for HUD Section 3 companies certified with the Human Relations Council. This commitment applies to all projects funded through NSP/CDBG/HOME. The City of Dayton is a recipient of Department of Transportation funds and complies with the DBE program. Authorization for the program comes from 49 Code of Federal Regulations Part 26 (49 CFR 26). State Funds: When determining the level of participation the, only the total work performed by the certified subcontractor either singularly or severally, will be considered. This form must be utilized to indicate your subcontractors and must be fully completed and returned with your bid proposal, i.e., you must list the name(s), address, etc. of the subcontractor, type of work to be performed, dollar amount of the subcontract and percentage of the base bid. Use as many copies of this form as necessary to list all subcontractors. Should you have questions regarding this form, please contact the Human Relations Council (HRC) Office. (937) 333-1403.

<table>
<thead>
<tr>
<th>Firm Name, Tax I.D. Number and Mailing Address</th>
<th>Please Check One</th>
<th>Type of Service or Supply to be Provided</th>
<th>Type of Construction Work to be Performed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Certified Business Firm Name:</td>
<td>Prime Contract Bid</td>
<td>Supply or Service Subcontract</td>
<td></td>
</tr>
<tr>
<td>Tax I.D. Number:</td>
<td>Joint Venture Bid</td>
<td>Construction Subcontract</td>
<td></td>
</tr>
<tr>
<td>Street Address:</td>
<td>Supply or Service Subcontract</td>
<td>Construction Subcontract</td>
<td></td>
</tr>
<tr>
<td>City/State/ Zip Code:</td>
<td>E-mail:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total $ Amount of PRIME CONTRACTOR'S Base Bid: 

Total $ to subcontract: 

Total % subcontract: 

PRIME CONTRACTOR'S REPRESENTATIVE

Print Name: 

Sign Name: 

Street Address: 

City/State/Zip: 

City of Dayton, Ohio
Request for Proposal
Submit a separate form for each goal for which you are requesting a waiver. A Bidder Requesting a waiver of the (circle one: SBE/MBE/WBE/DLSB/DBE/HUD Section 3) Participation Goal must maintain supporting documentation and will be required to provide such documentation within two days of its request. The City of Dayton Human Relations Council (HRC) shall review and evaluate the Bidder’s efforts to meet and comply with the project participation goal. A bidder will be granted a Waiver for SBE/MBE/WBE/DLSB goals based on good faith efforts; DBE goals based on good faith efforts; HUD Section 3 goals based on efforts to the greatest extent feasible; and only where the HRC determines that the bidder has obtained at least seventy-five (75) points from the following list of activities. This form must be completed and submitted with your bid if you are requesting a waiver of any goal.

<table>
<thead>
<tr>
<th>#</th>
<th>Points Possible</th>
<th>Activity Description</th>
<th>Points Requested</th>
<th>Points Approved</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>20</td>
<td>Solicited the interest of all certified MBE/WBE/SBE/DLSB/ or DBE or HUD3 having the capability to perform the work of the contract. The bidder must solicit this interest within ten (10) business days of the bid submittal deadline in order to allow the MBE/WBE/SBE/DLSB/ or DBE or HUD3 sufficient time to respond to the solicitation.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>20</td>
<td>Negotiated with MBE/WBE/SBE/DLSB/ or DBE or HUD3 subcontractors, and has taken the subcontractors’ price and capabilities, as well as the contract goals, into consideration.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>15</td>
<td>Divided contract work items into economically feasible units to facilitate MBE/WBE/SBE/DLSB/ or DBE or HUD3 participation, even when the bidder might otherwise prefer to perform these work items with its own forces.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>15</td>
<td>Rejected MBE/WBE/SBE/DLSB/ or DBE or HUD3 as being unqualified only with reasons based on a diligent investigation of their capabilities. The bidder’s standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations (for example, union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the bidder’s efforts to meet the project goal.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>10</td>
<td>Provided interested MBE/WBE/SBE/DLSB/ or DBE or HUD3 with, or directed to, the Minority Business Assistance Center (MBAC) for information about the plans, specifications, and requirements of the contract within ten (10) business days of the bid submittal deadline in order to assist them in responding to a solicitation.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>10</td>
<td>Contacted the Minority Business Assistance Center (MBAC) and used the services of community organizations, contractors’ groups, local, state and federal business assistance offices, and other organizations to find subcontractors certified as (circle one: MBE/WBE/SBE/DLSB/ or DBE or HUD3).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>5</td>
<td>Assisted interested MBE/WBE/SBE/DLSB/ or DBE or HUD3 that responded to the bidder’s solicitation in actually obtaining bonding, lines of credit, or insurance as required by the City or the bidder.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>5</td>
<td>The bidder is actively participating in an ongoing Joint Venture or Strategic Partnership (R.C.G.O. § 35.41), documented mentor/protégé program or documented construction management program with a certified MBE/WBE/SBE/DLSB/ or DBE or HUD3 in the assistance of their business growth and development.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

100 Bidding Company Name:
Business Income Tax Questionnaire

The following information is required to determine your City of Dayton, Ohio income tax liability, if any, and to set up your account if required.

**Type of Tax Filing: (check all that apply)**

1. □ Employee Withholding FEIN # ________________
2. □ Corporate Earnings FEIN # ________________
3. □ Individual Ownership Earnings SSN # ________________
4. □ Partnership Earnings FEIN # ________________

Company Name__________________________________________Phone # ________________
Mailing Address________________________________________City__________St._ Zip__________
Local Business Address________________________________City__________St._ Zip__________

Check the jurisdictions that we administer that you operate in:
□ Dayton City Limits □ Dayton Wright Brothers Airport □ Dayton International Airport □ NONE

Date Business Started in Our Taxing Jurisdiction ____________________________
Your Accounting Period? Calendar Year___________ or Fiscal Year ending on ____________________________

**Withholding Information** *Quarterly Withholding cannot exceed $600.00*

Do you have employees? Yes □ or No □ Date First Employee Started Working in Our Jurisdiction ________________

Do you submit withholdings QUARTERLY* or MONTHLY? ____________________________

Is this a courtesy withholding for your employees who are residents of the above cities only? Yes □ or No □

Do you rent or sublease property or space in the Dayton jurisdiction to another business or individual? Yes □ No □

If so list Names, Addresses, and Tax ID below. If Yes, do they have employees working at that location? Yes □ No □

Do you use Subcontractors? Yes □ No □ If so list Names, Addresses, and FEIN or Social Security Numbers below.

If you have filed returns with our office before, show Name and Tax ID #s used, and for what tax years you filed:

________________________________________________________________________

Full name of Owner of Company ____________________________
If this is a change of ownership, please provide the date of change, the name, address, and phone number of former owner ____________________________

If you are not liable to pay taxes in our jurisdiction, please explain why.

________________________________________________________________________

Signature__________________________________________Title__________________Date ________________

Thank you for your cooperation in this request. For more tax information is available at www.daytonohio.gov.
Please return by MAIL or by FAX to: City of Dayton, Division of Revenue & Taxation, 101 West 3rd Street, P.O. Box 2806, Dayton, Ohio 45401 (937) 333-3500 ~ Fax (937) 333-4280

City of Dayton, Ohio
Request for Proposal
## Appendix A - Valves in need of repair

<table>
<thead>
<tr>
<th>Valve ID</th>
<th>Size</th>
<th>Remarks</th>
<th>Date</th>
<th>Turns</th>
<th>Notes</th>
<th>St Number</th>
<th>Dir</th>
<th>St Name</th>
<th>Cross Street</th>
<th>Has File</th>
</tr>
</thead>
<tbody>
<tr>
<td>001009v06</td>
<td>16</td>
<td>PACK</td>
<td>2/16/2016</td>
<td>109</td>
<td>This has been leaking for many years</td>
<td></td>
<td></td>
<td>Riverside Dr Bridge</td>
<td>Riverbank Kor-War Mon</td>
<td>Yes</td>
</tr>
<tr>
<td>002001v15</td>
<td>36</td>
<td>BOPR</td>
<td>11/29/2018</td>
<td>314</td>
<td>On River Bank, East of Riverside Bridge</td>
<td></td>
<td></td>
<td>Patterson Blvd</td>
<td>Monument</td>
<td>Yes</td>
</tr>
<tr>
<td>009023v05</td>
<td>16</td>
<td>VBO</td>
<td>2/15/2013</td>
<td>9-23/G-10</td>
<td></td>
<td></td>
<td>Richmond</td>
<td>Five Oak</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>013021v01</td>
<td>16</td>
<td>CATL</td>
<td>4/4/2013</td>
<td></td>
<td>Box dug and reset in 2006, but was not found in 2013</td>
<td>12-12/H-10 Appar that this valve was abandoned</td>
<td>13+ riser in 2006 attempted to operate but valve was broken</td>
<td>Ewing</td>
<td>Hall</td>
<td>No</td>
</tr>
<tr>
<td>016046v01</td>
<td>24</td>
<td>VBO</td>
<td>4/9/2013</td>
<td>0</td>
<td>WO#727622</td>
<td></td>
<td></td>
<td>North Bend</td>
<td>Helena</td>
<td>Yes</td>
</tr>
<tr>
<td>017029v01</td>
<td>16</td>
<td>VBO</td>
<td>11/29/2008</td>
<td>55.8</td>
<td>Can't get on valve 17-29/1-9</td>
<td></td>
<td></td>
<td>Valley St</td>
<td>Keowee Bridge</td>
<td>Yes</td>
</tr>
<tr>
<td>02007v04</td>
<td>16</td>
<td>BOPR,NRP</td>
<td>1/18/2012</td>
<td>61</td>
<td>20-7/1-10</td>
<td></td>
<td></td>
<td>Monument</td>
<td>Findlay</td>
<td>Yes</td>
</tr>
<tr>
<td>025021v01</td>
<td>24</td>
<td>BKNO,NRP</td>
<td>2/9/2012</td>
<td>0</td>
<td>Broke No Gears - In Manhole 25-21-1-12</td>
<td></td>
<td></td>
<td>Quitman</td>
<td>Little</td>
<td>Yes</td>
</tr>
<tr>
<td>029029v01</td>
<td>24</td>
<td>EXER,PAV</td>
<td>3/27/2012</td>
<td>0-29-9/12</td>
<td></td>
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<td>Patterson Blvd</td>
<td>Ludlow-Stout</td>
<td>Yes</td>
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<td>031001v01</td>
<td>16</td>
<td>PACK</td>
<td>3/8/2010</td>
<td>0</td>
<td>WO in Hansen - Not sure if it has been repaired 31-1/13</td>
<td></td>
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<td>Stewart</td>
<td>S. Edwin C. Moses</td>
<td>Yes</td>
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<td>043016v06</td>
<td>20</td>
<td>BRKU, INOP, NRPD</td>
<td>2/14/2012</td>
<td>124.5</td>
<td>20&quot; valve off 24&quot; main 43-16/F-12</td>
<td></td>
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<td>Wabash Ave</td>
<td>Hudson</td>
<td>Yes</td>
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<td>044012v03</td>
<td>16</td>
<td>CATL,INOP</td>
<td>4/16/2012</td>
<td>0</td>
<td>44-12/G12</td>
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<td>College Home</td>
<td>Yes</td>
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<td>05206v01</td>
<td>16</td>
<td>BOPR,NRP</td>
<td>12/3/2012</td>
<td>0</td>
<td>52-6/H-9</td>
<td></td>
<td></td>
<td>Wabash Ave</td>
<td>Hudson</td>
<td>Yes</td>
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<tr>
<td>053041v04</td>
<td>30</td>
<td>BRKU,INOP, NRP</td>
<td>1/9/2012</td>
<td>0</td>
<td>Bypass on 20&quot; Valve on 30&quot; CI High 53-41/H-9</td>
<td></td>
<td></td>
<td>Riverside</td>
<td>Ernst Fairview</td>
<td>Yes</td>
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<tr>
<td>056005v05</td>
<td>20</td>
<td>PACK</td>
<td>1/1/2018</td>
<td>0</td>
<td>10&quot; off 20&quot; 56-5/H-9</td>
<td></td>
<td></td>
<td>Riverside Dr</td>
<td>Ridge</td>
<td>Yes</td>
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<td>061001v04</td>
<td>16</td>
<td>EXER,PAV</td>
<td>7/9/2012</td>
<td>0</td>
<td>61-1</td>
<td></td>
<td>Commerce Park</td>
<td>Heid</td>
<td>Yes</td>
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<td>074016v05</td>
<td>24</td>
<td>INOP, NRP</td>
<td>10/2/2002</td>
<td>0</td>
<td>74-18/11</td>
<td></td>
<td>Garl and</td>
<td>Fifth</td>
<td>Yes</td>
<td></td>
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<td>074026v01</td>
<td>16</td>
<td>BOPR</td>
<td>2/6/2012</td>
<td>0</td>
<td>74-26/1-11</td>
<td>Broken in Man Hole Pit - Rounded Nut - McCoy report that the nut was not rounded in 2013 Check for damaged Nut.</td>
<td></td>
<td></td>
<td>Argyle St</td>
<td>Arbor Ave</td>
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<td>079009v01</td>
<td>16</td>
<td>VAC</td>
<td>3/30/2012</td>
<td>0</td>
<td>79-9/1-13</td>
<td>Drawing 79-9/1-13</td>
<td></td>
<td>Esmere Ave</td>
<td>Hillcrest</td>
<td>Yes</td>
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<tr>
<td>131023v03</td>
<td>16</td>
<td>PACK</td>
<td>5/4/2011</td>
<td>50.4</td>
<td>131-23/F-8</td>
<td></td>
<td></td>
<td>Esmere</td>
<td>Hillcrest</td>
<td>Yes</td>
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<td>13804V01</td>
<td>16</td>
<td>CATL</td>
<td>3/30/2011</td>
<td>0</td>
<td>16&quot; 132.2 ft East of Stanhope 138-4/F-8</td>
<td></td>
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<td>Stanhope</td>
<td>Stanhope</td>
<td>Yes</td>
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<td>15801V04</td>
<td>24</td>
<td>TRAN</td>
<td>7/24/2002</td>
<td>50</td>
<td>158-4/F-G14</td>
<td>Open Gear Valve in Pit 158-2/G14</td>
<td></td>
<td>Carillon Blvd</td>
<td>I-75</td>
<td>Yes</td>
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<tr>
<td>463011V02</td>
<td>30</td>
<td>VAC</td>
<td>1/10/2013</td>
<td>0</td>
<td>463-11/E-9 20&quot; Valve on 30&quot; Concrete High</td>
<td></td>
<td></td>
<td>Gettysburg</td>
<td>Cornell</td>
<td>Yes</td>
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<tr>
<td>001004v03</td>
<td>16</td>
<td>BKNO,NRP</td>
<td>4/16/2019</td>
<td>100+</td>
<td>Valve was confirmed broken in the open position</td>
<td>4/16/19/WO#170/914</td>
<td></td>
<td>River view</td>
<td>N. Main St</td>
<td>Yes</td>
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<tr>
<td>049040V03</td>
<td>24</td>
<td>NRPR</td>
<td></td>
<td>24&quot; gate valve in Man Hole - Left handed valve in man 62 hole- Has Bevel Gear with open nut welded to it</td>
<td>Esmere Ave</td>
<td>Brentwood Dr</td>
<td>MH</td>
<td></td>
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<tr>
<td>003012V01</td>
<td>24</td>
<td>PACK</td>
<td></td>
<td>24&quot; Left Handed Valve with Open Bevel Gears-Last inspection in 2018 suggested replacement</td>
<td>Patterson BLVD</td>
<td>Brown St</td>
<td>PIT</td>
<td></td>
<td></td>
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<tr>
<td>001009V05</td>
<td>16</td>
<td>NRPR</td>
<td></td>
<td>16&quot; With Bevel Gear- Gear repaired in past-Has Packing Leak</td>
<td>Riverside</td>
<td>Riverside St-Emmett St</td>
<td>PIT</td>
<td></td>
<td></td>
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<tr>
<td>033012V01</td>
<td>20</td>
<td>NRPR</td>
<td></td>
<td>82 20&quot; Valve on 24&quot; C.I. Low Main - Welded Operating nut to stem-Opens Left Rep</td>
<td>Riverside</td>
<td>Riverside St-Emmett St</td>
<td>PIT</td>
<td></td>
<td></td>
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<tr>
<td>001009V06</td>
<td>16</td>
<td>NRPR</td>
<td></td>
<td>16&quot; With Bevel Gear- Gear (assumed) on 16&quot; C.I. High- Has Packing Leak</td>
<td>Riverside</td>
<td>Riverside St-Emmett St</td>
<td>PIT</td>
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<tr>
<td>062004V02</td>
<td>16</td>
<td>OPEN</td>
<td></td>
<td>16&quot; W-by-Pass (062004V04) on 16&quot; Conc. Low Valve is broken in the open position</td>
<td>Glenway Rd</td>
<td>Avondale Dr</td>
<td>UNK</td>
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Appendix B – Sample Valves

- VLV. I.D. # 003012V01 – S.E. CORNER - S. PATTERSON BLVD. @ E. FIFTH ST.
  - 24” LAYDOWN VALVE IN PIT – EXPOSED GEARS
  - CLASS 3 STREET (BRICK / CONC. UNDER ASPHALT)
  - 4.5’ BURY DEPTH
  - VALVE IS EXTREMELY STIFF – UNABLE TO ACHIEVE FULL TURNS / SHUTDOWN IN PREVIOUS ATTEMPT
- VLV. I.D. # 004045V01 – JOSIE ST. @ McClain ST.
  - 24” LAYDOWN VALVE IN PIT – EXPOSED GEARS
  - CLASS 3 STREET CUT (BRICK / CONCRETE UNDER ASPHALT)
  - 3.5’ BURY DEPTH
  - VALVE IS STIFF – GEARS SKIP – LEAKS DURING AND AFTER OPERATION
- VLV. I.D. # 001009V06 – LOCATED IN PARK (KOREAN WAR MEMORIAL) AT RIVERSIDE BRIDGE – ADJACENT TO BIKE PATH ON NORTH SIDE OF RIVER
  - 16” LAYDOWN VALVE IN PIT – EXPOSED GEARS
  - SOD CUT – ONLY GRASS IMMEDIATELY SURROUNDING VALVE
  - 6’ BURY DEPTH
  - VALVE DOES NOT OPERATE SMOOTHLY – CURRENTLY LEAKING EXCESSIVELY IN PIT.
  - THIS VALVE / MAIN IS SURROUNDED BY MULTIPLE SMALL TAPS AND WOULD BE AN EXCELLENT CANDIDATE TO REPAIR IN PLACE VS. REPLACEMENT